

UNOFFICIAL TRANSLATION INTO ENGLISH¹

MINISTERIAL DECISION with No 97447 EΞ 2024 (GG B/ 4219/18.7.2024 as corrected by GG B 4824/21.8.2024)²

Determination of the Hellenic Capital Market Commission resources

THE MINISTER OF NATIONAL ECONOMY AND FINANCE

Having regard to:

1. The provisions of:

a) par. 1 of article 76A of Law 1969/1991 "Portfolio investment companies, mutual funds, provisions for modernization and streamlining of the capital market and other provisions" (A 167),

b) par. 2 of article 26 of Law 3371/2005 "Capital market issues and other provisions" (A 178),

c) par. 3 of article 31 of Law 2324/1995 "Amendment to the legislation on Stock Exchanges, Organization of the Hellenic Capital Market Commission, Deposits Guarantee Scheme and other provisions" (A 146),

d) Law 4622/2019 "Executive State: Organization, operation and transparency of the Government, Governmental bodies and the central public administration" (A 133),

e) articles 75 to 81 of Law 4727/2020 "Digital Governance [Transposition into Greek Law of Directive (EU) 2016/2102 and Directive (EU) 2019/1024] - Electronic Communications [Transposition into Greek Law of Directive (EU) 2018/1972] and other provisions" (A 184),

f) Law 3469/2006 "National Printing Office, Government Gazette and other provisions" (A 131),

g) article 90 of the Code of legislation on Government and Governmental bodies (Presidential Decree 63/2005, A 98), which was kept in force with point 22 of article 119 of Law 4622/2019 (A 133),

h) Presidential Decree 77/2023 "Establishment of Ministry and renaming of Ministries - Establishment, abolition and renaming of General and Special Secretariats - Transfer of responsibilities, service units, staff positions and supervised entities" (A 130),

i) Presidential Decree 82/2023 "Renaming of Ministry - Establishment and renaming of General Secretariats - Transfer of responsibilities, service units and staff positions - Amendment and supplementation" (A 139),

j) Presidential Decree 79/2023 "Appointment of Ministers, Acting Ministers and Deputy Ministers" (A 131),

k) Presidential Decree 142/2017 "Organization of the Ministry of Finance" (A 181).

2. Decision No. 532 EΞ 2016/6.4.2016 "Determination of the Hellenic Capital Market

¹ In case of inconsistencies the version in Greek prevails.

² Includes the corrections published with GG B 4824/21.8.2024.

Commission resources" (B 999).

3. Decision No. 38649 ΕΞ 2021/31.3.2021 "Amendment of the ministerial decision No. Γ.Δ.Ο.Π.0000532 ΕΞ2016/06.04.2016 "Determination of the Hellenic Capital Market Commission resources" (B 999)" (B 1355).

4. The letters of the Hellenic Capital Market Commission with reference numbers EK 3044/7.12.2023, EK 3061/ 8.12.2023 and EK 3228/22.12.2023, together with their attachments.

5. Decision No. 7/1003/29.11.2023 of the Board of Directors of the Hellenic Capital Market Commission "Proposal to the Minister of National Economy and Finance for the Determination of the Hellenic Capital Market Commission resources".

6. The Minutes of Meeting of the Hellenic Capital Market Commission Advisory Committee held on 22/11/2023.

7. Document No. 92370 ΕΞ 2024/28.06.2024 of the Directorate of Supervised Entities of the General Directorate of Finance Services of the Ministry of National Economy and Finance.

8. The fact that the present decision does not incur any costs for the state budget, we hereby decide:

To approve the decision "Determination of the Hellenic Capital Market Commission resources", comprising the amount and frequency of payment of the resources of the Hellenic Capital Market Commission, as follows:

Article 1

Investment Services Firms (ΑΕΠΕΥ) under Law 4514/2018

1. Authorization: The ΑΕΠΕΥ to be authorized shall pay a fee of five thousand (5,000) euros for the review of the application for granting the authorization, pursuant to paragraph 1 of article 5 of Law 4514/2018, which shall be increased by:

i) Two thousand (2,000) euros per investment service or activity referred to in section A of annex I of Law 4514/2018, excluding the investment services referred to in Section A of the annex of Law 4514/2018 under no. 3 (dealing on own account) and under no. 6 (underwriting financial instruments or placing financial instruments on a firm commitment basis) where the increase amounts to three thousand euros (€3,000) for each service and excluding the operation of the Multilateral Trading Facility (MTF) and the Organised Trading Facility (OTF) pursuant to article 18 of Law 4514/2018 for the granting of an authorization, for each of which the increase shall be fifty thousand (50,000) euros,

ii) one thousand (1,000) euros per ancillary service referred to in section B of annex I of Law 4514/2018, excluding the ancillary service No 1 referred to in Section B of the Annex of Law 4514/2018 (i.e. Safekeeping and administration of financial instruments for the account of clients, including custodianship and related services such as cash/collateral management and excluding providing and maintaining securities accounts at the top tier level ('central maintenance service') referred to in point (2) of Section A of the Annex to the Regulation (EU) No 909/2014) where the increase amounts to three thousand euros (€3,000),

iii) five hundred (500) euro per financial instrument referred to in section C of annex I of Law 4514/2018, excluding the financial instrument no. 9 referred to in Section C of the Annex of Law 4514/2018 (contracts for differences/CFDs) where the increase amounts to one thousand euros (€1,000),

iv) twenty thousand (20,000) euros per data reporting service operating under a derogation according to par. 3 of article 2 of Regulation (EU) No 600/2014 and

v) three thousand (3,000) euros for the crowdfunding intermediation activity of Article 12 of Regulation (EU) 2020/1503.

2. Registration of a MTF as an SME development market: For the review of the application for registering a MTF as a market for the development of small and medium enterprises according to par. 1 of article 33 of Law 4514/2018, the AEPEY shall pay a fee of ten thousand (10,000) euros. The fee of this paragraph shall not be paid for the registration of a MTF as a market for the development of small and medium enterprises during the review of an application for the granting of an authorization for MTF operation.

3. Extension of authorization: The AEPEY shall pay a fee for the review of the application for the extension of its authorization as regards an investment service or activity or ancillary service or financial instrument, which shall amount to the increase provided for in the first paragraph.

4. Crowdfunding intermediation: The AEPEY shall pay a fee of three thousand (3,000) euros for the review of the data submitted for its activity in crowdfunding intermediation pursuant to article 12 of Regulation (EU) 2020/1503.

5. Withdrawal of authorization: For the withdrawal of the authorization of an AEPEY at its own request, the AEPEY shall pay a fee of one thousand (1,000) euros. In the event that the AEPEY does not provide the ancillary service set out in point 1 of Section B of Annex I of Law 4514/2018, this fee shall be reduced to five hundred (500) euros.

6. Partial withdrawal of authorization: For the partial withdrawal of the authorization of an AEPEY at its own request as regards one or more investment services or activities or ancillary services or financial instruments, the AEPEY shall pay a fee of three hundred (300) euros. Especially for the withdrawal of the ancillary service set out in point 1 of section B of annex I of Law 4514/2018, a fee of four hundred (400) euros shall be paid. An additional fee of five thousand (5,000) euros shall be payable for the withdrawal of authorization following an AEPEY's request of a MTF or OTF and a fee of four thousand (4,000) euros for the withdrawal of authorization as a data reporting service provider.

7. Suitability of shareholders with qualifying holdings, members of the board of directors, persons effectively managing the activities and persons who hold key positions of the AEPEY:

To review an application to acquire or increase qualifying holdings in an AEPEY pursuant to article 11 of Law 4514/2018, the AEPEY shall pay a) a fee of two hundred and fifty (250) euros if the shareholder acquiring or increasing qualifying holdings is a natural person and b) one thousand (1,000) euros if the shareholder is a legal entity. In the event that the suitability of a shareholder is reviewed for the same natural person for duties in entities within the same group, a single fee shall apply as long as the applications for the

said natural person in the individual entities are submitted within a period of three (3) months.

To assess the suitability of a member of the board of directors or a person effectively managing the activities of the AEPEY or a person who holds a key position pursuant to article 9 of Law 4514/2018, a member of the board of directors so that this person can simultaneously exercise the duties of chairperson and managing director, the AEPEY shall pay a fee of two hundred (200) euros. In the event that the suitability of a member of the Board of Directors is reviewed for the same natural person for duties in entities within the same group, a single fee shall apply as long as the applications for the said natural person in the individual entities are submitted within a period of three (3) months. To assess the suitability of the same person as a shareholder with qualifying holdings and as a member of the board of directors or as a person effectively managing its activities, or a person who holds a key position or in case of simultaneous exercise of the duties of the chairperson of the board of directors and managing director pursuant to sub-paragraphs 1 and 2 of this paragraph, the AEPEY shall pay the fees of the first sub-paragraphs, as the case may be.

The fees referred to in this paragraph shall not be payable if the assessment of the suitability of a person is conducted in the context of the review of the application for the authorization of an AEPEY.

8. Amendment to the rules of operation of MTF, OTF, Approved Publication Arrangement (APA) or Approved Reporting Mechanism (ARM): The AEPEY that manages an MTF, OTF, APA or ARM shall pay a fee of four thousand (4,000) euros when it notifies the Hellenic Capital Market Commission of amendments to their rules of operation.

9. Branch or tied agent or branch of tied agent or other form of establishment in Greece: The AEPEY shall pay a fee of one thousand five hundred (1,500) euros for the notification of its activity in Greece through a branch or through a tied agent pursuant to article 29 of Law 4514/2018 or through a branch of a tied agent or other form of establishment. This fee shall not be payable for the appointment of a tied agent who was previously appointed as tied agent to another AEPEY or a company supervised by the Hellenic Capital Market Commission (without interruption of service provision).

10. Provision of investment services in another Member State or third country: The AEPEY shall pay a fee of two thousand (2,000) euros for the notification of its intention to provide investment services or activities or ancillary services in another Member State through a branch or tied agent, pursuant to Part A of Article 35 of Law 4514/2018 or through another form of establishment, or in a third country, pursuant to article 92 of the same law.

11. The AEPEY shall pay a fee of six hundred (600) euros for each notification of intent to provide investment services per Member State without an establishment, pursuant to Part A of Article 34 of Law 4514/2018, or per third country, pursuant to Article 92 of the same law. Also, the AEPEY shall pay a fee of four hundred (400) euros for each amendment to the services or financial instruments to which the notification referred to in this paragraph applies.

12. Reduction of own funds: The AEPEY shall pay a fee of two hundred (200) euros for the review of the application for a reduction of own funds based on articles 77 and 78 of Regulation (EU) No 575/2013 of the European Parliament and of the Council.

13. Periodic contributions:

(i) The AEPEY shall pay an annual contribution, calculated as the sum of:

(a) A fixed-rate contribution of

(aa) two thousand five hundred (2,500) euros or

(bb) one thousand five hundred (1,500) euros if it has been granted an authorization to provide the service of point 1 and/or point 5 of section A of annex 1 of Law 4514/2018 and any ancillary services or

(cc) four thousand (4,000) euros for the companies that fall under the provisions of Law 4335/2015.

(b) a cumulative increase equal to the product of the company's turnover, if more than seven hundred thousand (700,000) euros, by the corresponding percentages of the following scale:

(aa) For turnover between seven hundred thousand and one (700,001) euros and five million (5,000,000) euros, the percentage is 0.3%,

(bb) for turnover between five million and one (5,000,001) euros and ten million (10,000,000) euros, the percentage is 0.15%,

(cc) for turnover greater than ten million and one (10,000,001) euros, the percentage is 0.10%.

The turnover shall result from the annual audited financial statements of the AEPEY of the previous financial year. Income from the operation of an MTF, OTF, APA or ARM shall not be included in the calculation of the periodic contribution referred to in this paragraph, payable by an AEPEY managing an MTF.

(ii) The AEPEY shall pay an annual contribution of two hundred euro (200) euros per branch, tied agent or other type of establishment that it has designated.

(iii) An AEPEY managing an MTF, OTF, APA or ARM shall pay an annual contribution equal to 5% of its gross income arising from transactions in financial instruments comprised in the MTF, OTF, APA or ARM it manages. The total income from the operation of an MTF, OTF, APA or ARM is separately recorded in the annual audited financial statements of the AEPEY and is not included in the turnover of point i of this paragraph.

The AEPEY shall pay the contributions referred to in this paragraph every August of each year, provided that its authorization is valid on 30 June of the same year.

Article 2

Investment Intermediation Firm (AEED) under Law 4514/2018.

1. Authorization: The AEED to be authorized shall pay a fee of one thousand (1,000) euros for the review of the application for granting the authorization, pursuant to the provisions of article 87 of Law 4514/2018, which shall be increased by two thousand (2,000) euros per investment service and activity referred to in section A of annex I and by five hundred (500) euros per financial instrument referred to in section C of annex I of the

same law.

2. Extension of authorization: The AEED shall pay a fee for the review of the application for the extension of its authorization as regards an investment service or financial instrument, which shall amount to the increase provided for in the first paragraph.

3. Withdrawal or partial withdrawal of authorization: For the withdrawal of the authorization of an AEED or the partial withdrawal of the authorization of an AEED as regards an investment service or financial instrument, at its own request, the AEED shall pay a fee of two hundred (200) euros.

4. Suitability of shareholders with qualifying holdings, members of the board of directors, and persons effectively managing the activities of the AEED: To review an application to acquire qualifying holdings in an AEED, the AEED shall pay a fee of two hundred and fifty (250) euros if the shareholder is a natural person and b) a fee of one thousand (1,000) euros if the shareholder is a legal entity.

To assess the suitability of the members of the board of directors and the persons effectively managing its activities, the AEED shall pay a fee of two hundred (200) euros per natural person.

To assess the suitability of the same person as a shareholder with qualifying holdings and as a member of the board of directors or as a person effectively managing its activities pursuant to items 1 and 3 of this paragraph, the AEED shall pay the fees of the first item, as the case may be.

The fee referred to in this paragraph shall not be payable if the assessment of the suitability of a person is conducted in the context of the review of the application for the authorization of an AEED.

5. Branch or tied agent or other form of establishment in Greece: The AEED shall pay a fee of three hundred (300) euros for the review of the application for notification of its activity in Greece through a branch of a tied agent or other form of establishment. This fee shall not be payable for the appointment of a tied agent who was previously appointed as tied agent to another company supervised by the Hellenic Capital Market Commission (without interruption of service provision).

6. Periodic contributions:

The AEED shall pay a contribution of three hundred and fifty (350) euros every August of each year, provided that its authorization is valid on 30 June of the same year. It shall also pay a contribution of one hundred (100) euros per tied agent or other type of establishment it has designated.

Article 3

Investment services firm of another Member State or third-country firm operating in Greece (law 4514/2018)

1. Branch or tied agent or other form of establishment of an investment services firm of another Member State in Greece: The investment firm of another Member State for which notification is submitted regarding the intention to operate in Greece through a branch or tied agent, pursuant to Part B of Article 35 of law 4514/2018, or which maintains another type of establishment shall pay a fee of one thousand (1,000) euros.

2. Branch of a third-country firm in Greece: The company that has received an authorization from the competent supervisory authority of a third country and intends to operate in Greece through a branch pursuant to article 39 of law 4514/2018, shall pay the fees referred to in article 1.

3. Periodic contributions:

(i) An investment firm referred to in par. 1 shall pay an annual contribution, which amounts to half of the contribution referred to in par. 13 of article 1.

(ii) A firm referred to in par. 2 shall pay an annual contribution as provided for in par. 13 of article 1.

The contributions of this paragraph are calculated on the basis of the annual financial statements submitted by the legal representative of the establishment, or a declaration regarding the turnover in the event that the tied agent or other type of establishment is not required to draw up financial statements. Said contributions are payable every July of each year, provided that the firm is in operation on 30 June of the same year.

Article 4

Credit institution providing investment services (aw 4514/2018)

1. Confirmation of the fulfilment of the conditions for the granting of an authorization to operate an MTF or OTF: The Credit institution shall pay a fee of fifty thousand (50,000) euros for the review of an application to confirm the fulfilment of the conditions for the granting of an authorization to operate an MTF or OTF pursuant to par. 2 of article 18 of law 4514/2018.

2. Periodic contributions:

(i) A credit institution that provides investment services in Greece shall pay an annual contribution calculated as the sum of:

(a) A fixed-rate contribution of three thousand (3,000) euros and

(b) a cumulative increase which equals to the product of the assets of the credit institution by the corresponding percentages of the following scale:

(ba) For assets up to five billion (5,000,000,000) euros, the percentage is 0.0005%,

(bb) for assets between five billion and one (5,000,000,001) and twenty billion (20,000,000,000) euros, the percentage is 0.00025%,

(b) for assets greater than twenty billion and one (20,000,000,001) euros, the percentage is 0.0001%.

The annual contribution is calculated on the annual financial statements certified by the certified auditor-accountant of the credit institution and shall be payable every September of each year.

(ii) A credit institution with its registered office in another Member State which has notified the Bank of Greece of its intention to provide investment services through a branch or tied agent established in Greece shall pay an annual contribution, pursuant to the provisions of point (i).

The annual contribution is calculated on the basis of the annual financial statements of the branch or tied agent certified by the certified auditor-accountant of the credit institution and shall be payable every September of each year.

Article 5

Mutual Funds Management Companies (AEDAK) under law 4099/2012

1. Authorization: The AEDAK to be authorized shall pay a fee of five thousand (5,000) euros for the review of the application for granting the authorization, pursuant to article 14 of law 4099/2012, which shall be increased by two thousand (2,000) euros in case of point a of par. 2 of article 12 of law 4099/2012 and by two thousand (2,000) euros in the case of each of the points b (aa) and b (bb) of par. 2 of article 12 of law 4099/2012 and by five hundred (500) euros per financial instrument, except for financial contracts for differences where it shall be increased by one thousand (1,000) euros. If the application for the granting of an authorization is submitted by a company that has received an authorization by the Hellenic Capital Market Commission and is under its supervision, the fee shall amount to three thousand five hundred (3,500) euros.

2. Extension of authorization: The AEDAK shall pay a fee of two thousand (2,000) euros for the review of the application for the extension of its authorization for each of the services referred to in points a, b (aa) and b (bb) of par. 2 of article 12 of law 4099/2012 and by five hundred (500) euros per financial instrument, except for financial contracts for differences where it shall be increased by one thousand (1,000) euros.

3. Withdrawal of authorization: For the withdrawal of the authorization of an AEDAK at its own request, the AEDAK shall pay a fee of one thousand (1,000) euros.

4. Partial withdrawal of authorization: For the partial withdrawal of the authorization of an AEDAK at its own request, with regard to one or more functions or ancillary services, the AEDAK shall pay a fee of two hundred (200) euros per function or ancillary service.

5. Suitability of shareholders with qualifying holdings, members of the board of directors, and persons effectively managing the activities of the AEDAK: To review an application to acquire or increase qualifying holdings in an AEDAK pursuant to articles 14 and 15 of law 4099/2012, the AEDAK shall pay a) a fee of two hundred and fifty (250) euros if the shareholder is a natural person and b) a fee of one thousand (1,000) euros if the shareholder is a legal entity. In the event that the suitability of a shareholder is reviewed for the same natural person for duties in entities within the same group, a single fee shall apply as long as the applications for the said natural person in the individual entities are submitted within a period of three (3) months.

To assess the suitability of the members of the board of directors or a person effectively managing its activities pursuant to article 16 of law 4099/2012, the AEDAK shall pay a fee of two hundred (200) euros per natural person. In the event that the suitability of a member of the Board of Directors is reviewed for the same natural person for duties in entities within the same group, a single fee shall apply as long as the applications for the said natural person in the individual entities are submitted within a period of three (3) months.

To assess the suitability of the same person as a shareholder with qualifying holdings and as a member of the board of directors or as a person effectively managing its activities

pursuant to items 1 and 2 of this paragraph, the AEDAK shall pay the fees of the first item, as the case may be.

The fee referred to in this paragraph shall not be payable for the assessment of the suitability of a natural person or legal entity conducted in the context of the review of the application for the authorization of an AEDAK.

6. Amendment to the statutes of an AEDAK: The AEDAK shall pay a fee of one thousand two hundred and fifty (1,250) euros for the review of the application to authorize the amendments to its statutes pursuant to par. 4 of article 14 of law 4099/2012.

7. Authorization of an Undertaking for the Collective Investment in Transferable Securities (UCITS). The AEDAK shall pay a fee of two thousand five hundred (2,500) euros for the review of the application for authorization of a UCITS investment sub-fund/compartment or of a UCITS without investment sub-fund/compartment pursuant to article 4 of law 4099/2012.

8. Amendment to UCITS regulations: The AEDAK shall pay a fee of one thousand two hundred and fifty (1,250) euros for the review of the application for authorization of amendments to UCITS regulations pursuant to par. 3 of article 5 of law 4099/2012.

9. Suspension of acquisition of UCITS units: The AEDAK shall pay a fee of five hundred (500) euros for the review of the application for authorization of suspension of acquisition of units pursuant to par. 4 of article 8 of law 4099/2012. If the suspension of acquisition is decided for all UCITS, the fee referred to in this paragraph shall not be payable.

10. Merger between UCITS and/or OEIC: The AEDAK shall pay a fee of two thousand five hundred (2,500) euros for the review of the application for authorization of mergers of UCITS with UCITS or UCITS with OEIC pursuant to articles 54 and 46 of law 4099/2012.

11. Authorization of structure of master and feeder UCITS: The AEDAK shall pay a fee of one thousand five hundred (1,500) euros for the review of the application for authorization of the structure of master and feeder UCITS pursuant to article 67 of law 4099/2012.

The fee referred to in this paragraph shall not be payable if the application for authorization of the structure is submitted at the same time with the application for the authorization of the AEDAK.

12. Operation of branch of an AEDAK or tied agent or branch of tied agent or other form of establishment in Greece: The AEDAK shall pay a fee of one thousand five hundred (1,500) euros for the review of the application for authorization of a branch in Greece pursuant to article 18 of law 4099/2012 or the approval of activity through a tied agent pursuant to article 71 par. 1 of law 4706/2020 and 29 of law 4514/2018 or through a branch of a tied agent or other form of establishment.

13. Establishment of a branch in another Member State or in a third country: The AEDAK shall pay a fee of two thousand (2,000) euros for the notification of its intention to establish a branch in another Member State or in a third country pursuant to articles 29 and 31 of law 4099/2012, respectively.

14. Provision of AEDAK services in another Member State or in a third country: The AEDAK shall pay a fee of six hundred (600) euros for the notification of its intention to

provide services per Member State pursuant to article 30 of law 4099/2012 or per third country. Moreover, the AEDAK shall pay a fee of four hundred (400) euros for each amendment of the said notification of intention to provide services.

15. Notification of sale of UCITS units/shares under law 4099/2012 in another Member State or third country: For the notification of sale of UCITS units/shares in another Member State or third country pursuant to article 91 of law 4099/2012, the AEDAK shall pay a fee of one hundred and fifty (150) euros a) per UCITS investment sub-fund/compartment or per UCITS without investment sub-funds/compartments and b) per country in which services are provided.

16. Periodic contributions:

(i) The AEDAK shall pay a biannual contribution on the basis of the total assets on June 30 and December 31 of each year of all managed UCITS, calculated as the sum of:

(a) A fixed-rate contribution of five thousand (5,000) euros and

(b) a cumulative increase equal to the product of these assets, if more than one hundred million (100,000,000) euros, by the corresponding percentages of the following scale:

(aa) For assets between one hundred million and one (100,000,001) euros and five hundred million (500,000,000) euros, the percentage is 0.0035%,

(bb) for assets between five hundred million and one (500,000,001) euros and one billion (1,000,000,000) euros, the percentage is 0.0025%,

(cc) for assets greater than one billion (1,000,000,000) euros, the percentage is 0.001%.

The contribution for the first semester shall be paid in July of each year and the contribution for the second semester shall be paid in January of the following year.

(ii) An AEDAK authorized to provide the ancillary services referred to in par. 2 of article 12 of law 4099/2012 shall pay an additional annual contribution based on its turnover from these services, calculated as the sum of:

(a) A fixed-rate contribution of one thousand (1,000) euros and

(b) a cumulative increase equal to the product of the company's turnover, if more than five hundred thousand (500,000) euros, by the corresponding percentages of the following scale:

(ba) For turnover between five hundred thousand and one (500,001) euros and one million (1,000,000) euros, the percentage is 0.5%,

(bb) for turnover between one million and one (1,000,001) euros and five million (5,000,000) euros, the percentage is 0.25%,

(bc) for turnover between five million and one (5,000,001) euros and ten million (10,000,000) euros, the percentage is 0.125%,

(bd) for turnover greater than ten million (10,000,000) euros, the percentage is 0.075%.

The contribution referred to in this item shall be calculated on the basis of the annual published financial statements of the previous year and shall be certified by the company's certified auditor-accountant. The contribution shall be paid every July of each year.

17. An AEDAK authorized to operate also as an AIFMC shall not pay a double fee or periodic contribution for the same activity or service.

Article 6

Open-Ended Investment Company (OEIC) under law 4099/2012

1. Authorization: The OEIC to be authorized shall pay a fee of five thousand (5,000) euros for the review of the application for granting the authorization, pursuant to article 40 of law 4099/2012. If the application for the granting of an authorization is submitted by a company that has received an authorization by the Hellenic Capital Market Commission and is under its supervision, the fee shall amount to three thousand five hundred (3,500) euros.

2. Withdrawal of authorization: For the withdrawal of the authorization of an OEIC at its own request, the OEIC shall pay a fee of one thousand (1,000) euros.

3. Suitability of shareholders with qualifying holdings, members of the board of directors, and persons effectively managing the activities of the OEIC: To review an application to acquire qualifying holdings in an OEIC pursuant to articles 14 and 15 of law 4099/2012, the OEIC shall pay: a) two hundred and fifty (250) euros if the shareholder is a natural person and b) one thousand (1,000) euros if the shareholder is a legal entity. In the event that the suitability of a shareholder is reviewed for the same natural person for duties in entities within the same group, a single fee shall apply as long as the applications for the said natural person in the individual entities are submitted within a period of three (3) months.

To assess the suitability of the members of the board of directors or a person effectively managing its activities pursuant to par. 9 of article 4 and article 40 of law 4099/2012, the OEIC shall pay a fee of two hundred (200) euros per natural person. In the event that the suitability of a member of the Board of Directors is reviewed for the same natural person for duties in entities within the same group, a single fee shall apply as long as the applications for the said natural person in the individual entities are submitted within a period of three (3) months.

To assess the suitability of the same person as a shareholder with qualifying holdings and as a member of the board of directors or as a person effectively managing its activities pursuant to items 1 and 2 of this paragraph, the OEIC shall pay the fees of the first item, as the case may be.

The fee referred to in this paragraph shall not be payable for the assessment of the suitability of a natural person or legal entity conducted in the context of the review of the application for the authorization of an OEIC.

4. Amendment to the statutes: The OEIC shall pay a fee of one thousand two hundred and fifty (1,250) euros for the review of the application to authorize the amendments to its statutes pursuant to par. 4 of article 14 of law 4099/2012.

5. Authorization to establish an investment sub-fund: An OEIC shall pay a fee of two thousand five hundred (2,500.00) euros for the review of the application for authorization of an OEIC investment sub-fund and the approval of its statutory documents pursuant to article 4 of law 4099/2012.

6. Notification of sale of OEIC units/shares in another Member State or third country: For the notification of sale of OEIC units/shares in another Member State or third country

pursuant to article 91 of law 4099/2012, the OEIC shall pay a fee of two hundred (200) euros per OEIC investment sub-fund/compartment or per OEIC without investment sub-funds/compartments and per country where sale takes place.

7. Amendment to the statutes: The OEIC shall pay a fee of one thousand two hundred and fifty (1,250) euros for the review of the application for approval of amendment of the statutes pursuant to par. 3 of article 5 of law 4099/2012.

8. Suspension of acquisition of units/shares: The OEIC shall pay a fee of five hundred (500) euros for the review of the application for authorization of suspension of acquisition of units/shares pursuant to par. 4 of article 8 of law 4099/2012.

9. Merger between OEIC and UCITS: The OEIC shall pay a fee of two thousand five hundred (2,500) euros for the review of the application for authorization of mergers of OEIC with OEIC or OEIC with UCITS pursuant to articles 46 and 55 of law 4099/2012.

10. Substitution of depositary: The OEIC shall pay a fee of one thousand (1,000) euros for the review of the application for substitution of the depositary pursuant to point c of par. 4 of article 36 of law 4099/2012.

11. Periodic contributions:

The OEIC shall pay a biannual contribution on the basis of the assets on June 30 and December 31 of each year, calculated as the sum of:

(a) A fixed-rate contribution of five thousand (5,000) euros and

(b) a cumulative increase equal to the product of the OEIC assets, if more than one hundred million (100,000,000) euros, by the corresponding percentages of the following scale:

(aa) For assets between one hundred million and one (100,000,001) euros and five hundred million (500,000,000) euros, the percentage is 0.0035%,

(bb) for assets between five hundred million and one (500,000,001) euros and one billion (1,000,000,000) euros, the percentage is 0.0025%,

(cc) for assets greater than one billion (1,000,000,000) euros, the percentage is 0.001%.

The contribution for the first semester shall be paid in July of each year and the contribution for the second semester shall be paid in January of the following year.

Article 7

Alternative Investment Funds Management Company (AIFMC) and Alternative Investment Funds Manager (AIFM) under law 4209/2013

1. Authorization: The AIFMC to be authorized shall pay a fee of five thousand (5,000) euros for the review of the application for granting the authorization, pursuant to article 6 of law 4209/2013, which shall be increased by two thousand (2,000) euros in case of point a of par. 4 of article 6 and by two thousand (2,000) euros in the case of each of the points b (aa), b (bb) and b (cc) of par. 4 of article 6 of law 4209/2013 and by five hundred (500) euros per financial instrument, except for financial contracts for differences where it shall be increased by one thousand (1,000) euros.

If the application for the granting of an authorization is submitted by a company that has received an authorization by the Hellenic Capital Market Commission and is under its supervision, the fee shall amount to three thousand five hundred (3,500) euros.

The fee of seven thousand (7,000) euros referred to in the first paragraph shall also be payable for the review of the application for granting an authorization for a third-country AIFM pursuant to article 37 of law 4209/2013.

2. Extension of authorization: The AIFMC shall pay a fee of two thousand (2,000) euros for the review of the application for the extension of its authorization for each of the services referred to in points a, b (aa) and b (bb) of par. 4 of article 6 of law 4209/2013 and by five hundred (500) euros per financial instrument, except for financial contracts for differences where it shall be increased by one thousand (1,000) euros.

3. Withdrawal of authorization: For the withdrawal of the authorization of an AIFMC at its own request, the AIFMC shall pay a fee of one thousand (1,000) euros.

4. Partial withdrawal of authorization: For the partial withdrawal of the authorization of an AIFMC at its own request, with regard to one or more functions or ancillary services, the AIFMC shall pay a fee of two hundred (200) euros per function or ancillary service.

5. Suitability of shareholders with qualifying holdings, members of the board of directors, and persons effectively managing the activities of the AIFMC

To review an application to acquire qualifying holdings in an AIFMC pursuant to point d of par. 1 of article 8 of law 4209/2013, the AIFMC shall pay: a) a fee of two hundred and fifty (250) euros if the shareholder is a natural person and b) a fee of one thousand (1,000) euros if the shareholder is a legal entity. In the event that the suitability of a shareholder is reviewed for the same natural person for duties in entities within the same group, a single fee shall apply as long as the applications for the said natural person in the individual entities are submitted within a period of three (3) months.

To assess the suitability of the members of the board of directors or a person effectively managing its activities pursuant to point c of par. 1 of article 8 of law 4209/2013, the AIFMC shall pay a fee of two hundred (200) euros per natural person. In the event that the suitability of a member of the Board of Directors is reviewed for the same natural person for duties in entities within the same group, a single fee shall apply as long as the applications for the said natural person in the individual entities are submitted within a period of three (3) months.

To assess the suitability of the same person as a shareholder with qualifying holdings and as a member of the board of directors or as a person effectively managing its activities pursuant to items 1 and 2 of this paragraph, the AIFMC shall pay the fees of the first item, as the case may be.

The fee referred to in this paragraph shall not be payable if the assessment of the suitability of a person is conducted in the context of the review of the application for the authorization of an AIFMC.

6. Amendment to the statutes of an AIFMC: The AIFMC shall pay a fee of one thousand two hundred and fifty (1,250) euros for the review of the application to authorize the amendments to its statutes.

7. Suspension of acquisition of AIF units: The AIFMC shall pay a fee of five hundred (500) euros for the review of the application for authorization of suspension of acquisition of units. If the suspension of acquisition is decided for all AIFs, the fee referred to in this paragraph shall not be payable.

8. Merger between AIFs: The AIFMC shall pay a fee of two thousand five hundred (2,500) euros for the review of the application to authorize the merger between AIF and AIF.

9. Authorization to establish an Alternative Investment Fund (AIF): The AIFMC shall pay a fee of two thousand five hundred (2,500) euros for the review of the application to authorize the establishment of an AIF.

10. Amendment to AIF regulations: The AIFMC shall pay a fee of one thousand two hundred and fifty (1,250) euro for the review of the application for authorization of amendments to AIF regulations.

11. Authorization of structure of master and feeder AIF: The AIFMC shall pay a fee of one thousand five hundred (1,500) euros for the review of the application for authorization of the structure of master and feeder AIF.

12. Marketing in Greece of EU or non-EU AIFs: The AIFMC which notifies the marketing in Greece of EU or non-EU AIFs which it manages pursuant to articles 31 and 36 of law 4209/2013 respectively, shall pay a fee of one thousand (1,000) euros for each investment sub-fund/compartment of an AIF or for each AIF without investment sub-funds/compartments.

13. Operation of an AIFMC as a branch or tied agent or branch of tied agent or other form of establishment in Greece: The AIFMC shall pay a fee of one thousand five hundred (1,500) euros for the authorization to operate through a branch or tied agent pursuant to article 72 par. 1 of law 4706/2020 and 29 of law 4514/2018 or through a branch of a tied agent or another form of establishment.

14. Establishment of an AIFMC branch in another Member State or in a third country: The AIFMC notifying the establishment of a branch in another Member State pursuant to article 33 of law 4209/2013 or in a third country shall pay a fee of two thousand (2,000) euros.

15. Management of AIFs and provision of AIFMC services in another Member State pursuant to article 33 of law 4209/2013 or in a third country pursuant to article 34 of law 4209/2013. The AIFMC shall pay a fee of six hundred (600) euro for the notification of its intention to provide services per Member State or per third country. Moreover, the AIFMC shall pay a fee of four hundred (400) euros for each amendment to the said notification of intention to provide services.

16. Marketing of AIFs in another Member State or in a third country. The AIFMC which notifies of the marketing of AIFs it manages in another Member State pursuant to article 32 of law 4209/2013 or in a third country shall pay a fee of one hundred and fifty (150) euros for each AIF investment sub-fund/compartment or for each AIF without investment sub-funds/compartments and per country where any marketing initiative takes place.

17. AIFMs referred to in par. 2 and 3 of article 3 of Law 4209/2013 shall pay a

registration fee of five hundred (500) euros for each AIF they manage.

18. Delegation of portfolio and risk management tasks: The AIFMC shall pay a fee of two thousand (2,000) euros for the review of the application of the delegation of portfolio or risk management pursuant to point c of par. 1 of article 20 of law 4209/2013 to companies that have not been authorized or registered for fund management and are not subject to the supervision of the Hellenic Capital Market Commission or other competent supervisory authorities.

19. Periodic contributions:

(i) The AIFMC shall pay a biannual contribution on the basis of the total assets on June 30 and December 31 of each year of all managed AIFs established in Greece, calculated as the sum of:

(a) A fixed-rate contribution of two thousand five hundred (2,500) euros and

(b) a cumulative increase equal to the product of these assets, if more than one hundred million (100,000,000) euros, by the corresponding percentages of the following scale:

(aa) For assets between one hundred million and one (100,000,001) euros and five hundred million (500,000,000) euros, the percentage is 0.0035%,

(bb) for assets between five hundred million and one (500,000,001) euros and one billion (1,000,000,000) euros, the percentage is 0.0025%,

(cc) for assets greater than one billion (1,000,000,000) euros, the percentage is 0.001%.

The contribution for the first semester shall be paid in July of each year and the contribution for the second semester shall be paid in January of the following year.

(ii) An AIFMC authorized to provide the ancillary services referred to in point b of par. 4 of article 6 of law 4209/2013 shall pay an annual contribution based on its turnover from these services, calculated as the sum of:

(a) A fixed-rate contribution of one thousand (1,000) euros and

(b) a cumulative increase equal to the product of the company's turnover, if more than five hundred thousand (500,000) euros, by the corresponding percentages of the following scale:

(aa) For turnover between five hundred thousand and one (500,001) euros and one million (1,000,000) euros, the percentage is 0.5%,

(bb) for turnover between one million and one (1,000,001) euros and five million (5,000,000) euros, the percentage is 0.25%,

(cc) for turnover between five million and one (5,000,001) euros and ten million (10,000,000) euros, the percentage is 0.125%,

(dd) for turnover greater than ten million (10,000,000) euros, the percentage is 0.075%.

The contribution referred to in this item shall be calculated on the basis of the annual published financial statements of the previous year and shall be certified by the company's certified auditor-accountant.

The contributions referred to in this paragraph shall be paid every July of each year.

20. An AIFMC authorized to operate also as an AEDAK shall not pay a double fee or periodic contribution for the same activity or service.

21. AIFMs referred to in par. 2 and 3 of article 3 of law 4209/2013 shall pay a registration

fee of three hundred (300) euros for each AIF they manage.

The contribution shall be paid in January of the following year.

Article 8

Real Estate Mutual Funds Management Company (REMFMC) under law 2778/1999
Subject to the provisions of article 7 on fees and contributions payable by the AIFMC, the REMFMC shall pay the following fees and periodic contributions:

1. Authorization: The REMFMC to be authorized shall pay a fee of five thousand (5,000) euros for the review of the application for granting the authorization, pursuant to article 2 of law 2778/1999. If the application for the granting of an authorization is submitted by a company that has received an authorization by the Hellenic Capital Market Commission and is under its supervision, the fee shall amount to three thousand five hundred (3,500) euros.

2. Withdrawal of authorization: For the withdrawal of the authorization of a REMFMC at its own request, the REMFMC shall pay a fee of one thousand (1,000) euros.

3. Suitability of shareholders with qualifying holdings, members of the board of directors, and persons effectively managing the activities of the REMFMC:

To review an application to acquire or increase qualifying holdings in a REMFMC pursuant to par. 3 of article 2 of law 2778/1999, the REMFMC shall pay: a) a fee of two hundred and fifty (250) euros if the shareholder is a natural person and b) a fee of one thousand (1,000) euros if the shareholder acquiring qualifying holdings is a legal entity. In the event that the suitability of a shareholder is reviewed for the same natural person for duties in entities within the same group, a single fee shall apply as long as the applications for the said natural person in the individual entities are submitted within a period of three (3) months.

To assess the suitability of the members of the board of directors or a person effectively managing its activities pursuant to par. 4 of article 2 of law 2778/1999, the REMFMC shall pay a fee of two hundred (200) euros per natural person. In the event that the suitability of a member of the Board of Directors is reviewed for the same natural person for duties in entities within the same group, a single fee shall apply as long as the applications for the said natural person in the individual entities are submitted within a period of three (3) months.

To assess the suitability of the same person as a shareholder with qualifying holdings and as a member of the board of directors or as a person effectively managing its activities pursuant to items 1 and 2 of this paragraph, the REMFMC shall pay the fees of the first item, as the case may be.

The fee referred to in this paragraph shall not be payable for the assessment of the suitability of a natural person or legal entity conducted in the context of the review of the application for the authorization of a REMFMC.

4. Amendment to the statutes: The REMFMC shall pay a fee of one thousand two hundred and fifty (1,250) euros for the review of the application to approve amendments to its statutes or a change in its share capital pursuant to par. 3 of article 2 of law 2778/1999.

5. Authorization to establish a Real Estate Mutual Fund: The REMFMC shall pay a fee of two thousand five hundred (2,500) euros for the review of the application for authorization and the approval of the regulations of a Real Estate Mutual Fund pursuant to article 5 of law 2778/1999.

6. Amendment to Real Estate Mutual Fund regulations: The REMFMC shall pay a fee of one thousand two hundred and fifty (1,250) euros for the review of the application to approve amendments to the Real Estate Mutual Fund regulations pursuant to par. 2 of article 8 of law 2778/1999.

7. Suspension of acquisition of units/shares of Real Estate Mutual Funds: The REMFMC shall pay a fee of five hundred (500) euros for the review of the application for authorization of suspension of acquisition of units/shares of Real Estate Mutual Funds pursuant to par. 3 of article 12 of Law 2778/1999.

8. Merger of Real Estate Mutual Funds: The REMFMC shall pay a fee of two thousand five hundred (2,500) euros for the review of the application for authorization of the merger of Real Estate Mutual Funds pursuant to article 17 of law 2778/1999.

9. Periodic contributions:

The REMFMC shall pay a biannual contribution of two thousand (2,000) euros. The contribution for the first semester shall be paid in July of each year and the contribution for the second semester shall be paid in January of the following year.

Article 9

Real Estate Investment Company (REIC) under law 4209/2013

Subject to the provisions of article 7 on fees and contributions payable by the AIFMC, the REIC shall pay the following fees and periodic contributions:

1. Authorization: The REIC to be authorized shall pay a fee of five thousand (5,000) euros for the review of the application for granting the authorization, pursuant to par. 2 of article 21 of law 2778/1999 and point b of par. 1 of article 5 of law 4209/2013.

2. Withdrawal of authorization: For the withdrawal of the authorization of a REIC at its own request, the REIC shall pay a fee of one thousand (1,000) euros.

3. Suitability of shareholders with qualifying holdings, members of the board of directors, and persons effectively managing the activities of the REIC: During the period from the granting of the REIC authorization until the listing of its shares on a regulated market, for the review an application to acquire qualifying holdings in a REIC, pursuant to par. 6 of article 21 of law 2778/1999, the REIC shall pay: a) a fee of two hundred and fifty (250) euros if the shareholder acquiring or increasing qualifying holdings in the REIC is a natural person and b) a fee of one thousand (1,000) euros if the shareholder acquiring or increasing qualifying holdings in the REIC is a legal entity. In the event that the suitability of a shareholder is reviewed for the same natural person for duties in entities within the same group, a single fee shall apply as long as the applications for the said natural person in the individual entities are submitted within a period of three (3) months.

To assess the suitability of the members of the board of directors or a person effectively managing its activities pursuant to article 21 of law 2778/1999, the REIC shall pay a fee of two hundred (200) euros per natural person. In the event that the suitability of a member of the Board of Directors is reviewed for the same natural person for duties in entities within the same group, a single fee shall apply as long as the applications for the said natural person in the individual entities are submitted within a period of three (3) months.

To assess the suitability of the same person as a shareholder with qualifying holdings and as a member of the board of directors or as a person effectively managing its activities pursuant to items 1 and 2 of this paragraph, the REIC shall pay the fees of the first item, as the case may be.

The fee referred to in this paragraph shall not be payable for the assessment of the suitability of a natural person or legal entity conducted in the context of the review of the application for the authorization of a REIC.

4. Amendment to the statutes: The REIC shall pay a fee of one thousand two hundred and fifty (1,250) euros for the review of the application to approve amendments to its statutes or a change in its share capital pursuant to par. 5 of article 21 of law 2778/1999.

5. Conversion of a REIC into a Real Estate Mutual Fund: The REIC shall pay a fee of two thousand (2,000) euros for the review of the application for authorization of its conversion into a Real Estate Mutual Fund pursuant to article 29 of law 2778/1999.

6. Transfer of real estates to REIC affiliates: The REIC shall pay a fee of five hundred (500) euros for the review of the application to authorize the transfer of real estates to affiliates pursuant to par. 2 of article 28 of law 2778/1999.

7. Extension of the deadline for listing REIC shares on a regulated market: The REIC shall pay a fee of two thousand five hundred (2,500) euros for the review of the first application to approve an extension of the deadline for listing its shares on a regulated market pursuant to par. 2 of article 23 of law 2778/1999 and five thousand (5,000) euros for the review of each new application to approve an extension of the deadline for listing.

8. Periodic contributions:

Until the listing of its shares on a regulated market, the REIC shall pay every six months a fixed contribution of one thousand (1,000) euros and a variable contribution of one thousand (1,000) euros, two thousand (2,000) euros and three thousand (3,000) euros, if its assets at the end of the six-month period are less than or equal to forty million (40,000,000) euros, less than or equal to sixty million (60,000,000) euros, or greater than sixty million euros (60,000,000), respectively. The payment obligation starts from the semester that follows the date of establishment of the REIC and it shall be paid in July for the January - June semester and in January of the following year for the July - December semester.

Article 10

Portfolio Investment Company (PIC) under law 3371/2005

Subject to the provisions of article 7 on fees and contributions payable by the AIFMC, the PIC shall pay the following fees and periodic contributions:

1. Authorization: The PIC to be authorized shall pay a fee of five thousand (5,000) euros

for the review of the application for granting the authorization, pursuant to par. 3 of article 28 of law 3371/2005.

2. Withdrawal of authorization: For the withdrawal of the authorization of a PIC at its own request, the PIC shall pay a fee of one thousand (1,000) euros.

3. Suitability of shareholders with qualifying holdings, members of the board of directors, and persons effectively managing the activities of the PIC: During the period from the granting of the PIC authorization until the listing of its shares on a regulated market, for the review of an application to acquire qualifying holdings in a PIC, pursuant to article 28 par. 4 of law 3371/2005, the PIC shall pay a) a fee of two hundred and fifty (250) euros if the shareholder acquiring or increasing qualifying holdings is a natural person and b) a fee of one thousand (1,000) euros if the shareholder acquiring or increasing qualifying holdings is a legal entity. In the event that the suitability of a shareholder is reviewed for the same natural person for duties in entities within the same group, a single fee shall apply as long as the applications for the said natural person in the individual entities are submitted within a period of three (3) months.

To assess the suitability of the members of the board of directors or a person effectively managing its activities pursuant to par. 8 of article 28 of law 3371/2005, the PIC shall pay a fee of two hundred (200) euros per natural person. In the event that the suitability of a member of the Board of Directors is reviewed for the same natural person for duties in entities within the same group, a single fee shall apply as long as the applications for the said natural person in the individual entities are submitted within a period of three (3) months.

To assess the suitability of the same person as a shareholder with qualifying holdings and as a member of the board of directors or as a person effectively managing its activities pursuant to items 1 and 2 of this paragraph, the PIC shall pay the fees of the first item, as the case may be.

The fee referred to in this paragraph shall not be payable for the assessment of the suitability of a natural person or legal entity conducted in the context of the review of the application for the authorization of a PIC.

4. Amendment to the statutes: The PIC shall pay a fee of one thousand two hundred and fifty (1,250) euros for the review of the application to approve amendments to its statutes or a change in its share capital pursuant to par. 7 of article 28 of law 3371/2005.

5. Conversion of PIC into UCITS: The PIC shall pay a fee of two thousand (2,000) euros for the review of the application for authorization of its conversion into a UCITS pursuant to article 35 of law 3371/2005.

6. Substitution of depositary: The PIC shall pay a fee of one thousand (1,000) euros for the review of the application for authorization of the substitution of depositary pursuant to par. 4 of article 33 of law 3371/2005.

7. Investment of PIC assets in other securities: The PIC shall pay a fee of one thousand (1,000) euros for the review of the application for authorization of the investment of its assets pursuant to article 30 par. 2 of law 3371/2005 in other securities or money market instruments except those laid down in par. 1 of the same article.

8. Periodic contributions:

Until the listing of its shares on a regulated market, the PIC shall pay a biannual contribution of two thousand (2,000) euros. The payment obligation starts from the semester that follows the date of establishment of the PIC and it shall be paid in July for the January - June semester and in January of the following year for the July - December semester.

Article 11

Activities in Greece of a management company of another Member State under Directive 2009/65/EC or of an AIFM under Directive 2011/61/EU or of a third country management company - Marketing of units/shares of AIFs-UCITS

1. Management company of another Member State in Greece: A management company established in another Member State under Directive 2009/65/EC, wishing to establish a branch in Greece pursuant to article 26 of law 4099/2012, shall pay a fee of two thousand (2,000) euros. For the activities in Greece under the freedom to provide services according to article 27 of law 4099/2012, a management company established in another Member State shall pay a fee of one thousand five hundred (1,500) euros.

2. Branch in Greece of a management company of a third country: For the assessment of the application of a management company established in a third country, to be granted an authorization to establish in Greece a branch pursuant to article 28 of law 4099/2012, the third country company shall pay a fee of five thousand (5,000) euros. This fee shall be increased by two thousand (2,000) euros in case of point (a) of par. 2 of article 12 of law 4099/2012, by two thousand (2,000) euros in case of point b (aa) of par. 2 of article 12 of law 4099/2012, by two thousand (2,000) euros in case of point b (bb) of par. 2 of article 12 of law 4099/2012, by five hundred (500) euros per financial instrument, except for financial contracts for differences, and by one thousand (1,000) euros for financial contracts for differences.

3. Marketing in Greece of units/shares of UCITS from another Member State: For the notification of marketing in Greece pursuant to article 89 of law 4099/2012, of units/shares of UCITS from another Member State under Directive 2009/65/EC, the UCITS management company shall pay a fee of one thousand (1,000) euros per UCITS sub-fund/compartment or per UCITS, (in case of AIFs) without sub-funds/compartments.

4. Cross-border activity in Greece by an AIFM established in another Member State: An AIFM established in another Member State under Directive 2011/61/EU shall pay a fee of one thousand two hundred and fifty (1,250) euros for the notification of its intention to carry out activities in Greece pursuant to article 33 of law 4209/2012.

5. Branch in Greece of an AIFM established in another Member State: An AIFM established in another Member State under Directive 2011/61/EU wishing to establish a branch in Greece shall pay a fee of one thousand five hundred (1,500) euros for each AIF sub-fund/compartment or per AIF, (in case of AIFs) without sub funds/compartments.

6. Notification of marketing of AIFs to retail investors in Greece: Marketing to retail investors in Greece of an AIF from another Member State by an AIFM from another Member State: An AIFM established in another Member State under Directive 2011/61/EU notifying the intention to market in Greece units or shares of an EU AIF pursuant to articles 32 (par. 7) and 41 of law 4209/2013, shall pay a fee of three thousand (3,000) euros for each AIF sub-fund/compartment or per AIF (in case of AIFs) without sub-funds/compartments.

7. Notification of the marketing of an AIF in Greece (“passport”):

(i) Marketing in Greece of an EU AIF by an EU AIFM: An AIFM established in another Member State under Directive 2011/61/EU notifying the intention to market in Greece an EU AIF pursuant to article 32 par. 7 of law 4209/2013, shall pay a fee of one thousand (1,000) euros for each AIF sub-fund/compartment or for each AIF (in case of AIFs) without sub-funds/compartments (“passport”).

(ii) Marketing in Greece of a non-EU AIF by an AIFM from another Member State: An AIFM established in another Member State under Directive 2011/61/EU notifying the intention to market in Greece a non-EU AIF pursuant to article 35 par. 10 of law 4209/2013, shall pay a fee of one thousand (1,000) euros for each AIF sub-fund/compartment or for each AIF (in case of AIFs) without sub-funds/compartments (“passport”).

(iii) Marketing in Greece of an EU AIF by a non-EU AIFM: An AIFM established in a third country notifying the intention to market in Greece an EU AIF pursuant to article 38 par. 10 of law 4209/2013, shall pay a fee of one thousand (1,000) euros for each AIF sub-fund/compartment or for each AIF (in case of AIFs) without sub-funds/compartments (“passport”).

(iv) Marketing in Greece of a non-EU AIF by a non-EU AIFM: An AIFM established in a third country notifying the intention to market in Greece a non-EU AIF pursuant to article 39 par. 11 of law 4209/2013, shall pay a fee of one thousand (1,000) euros for each AIF sub-fund/compartment or for each AIF (in case of AIFs) without sub-funds/compartments.

The fees of this paragraph shall be refunded in case the marketing notification is withdrawn within one month.

8. A management company established in another Member State under Directive 2009/65/EC which is allowed to provide in Greece services pursuant to articles 25, 27, 32 and 33 of law 4099/2012 (articles 16 and 18 to 21 of Directive 2009/65/EC), shall pay the fees and contributions of article 5 of this decision applying to Greek management companies (AEDAK). The fees of par. 16 of article 5 regarding periodic contributions shall be calculated on the basis of the assets under management in Greece and not on the basis of the assets under management in its home State.

9. An AIFM established in another Member State under Directive 2011/61/EU, wishing to manage an AIF established in Greece pursuant to Article 33 of law 4209/2013

(Article 33 of Directive 2011/61/EU) and pursuant to article 40 of law 4706/2020, shall pay the fees and contributions of article 7 of this decision applying to the Greek AIFMC.

10. Periodic contributions:

(i) For the marketing in Greece of units or shares of UCITS from another Member State, pursuant to article 89 of law 4099/2012, an annual contribution of one thousand (1,000) euros for each UCITS sub-fund/compartment or for each UCITS (in case of UCITS) without sub-funds/compartments, shall be paid, provided that the units or shares have been marketed in Greece at least until 30 June of each year.

(ii) For the marketing in Greece of units or shares of CIS authorized in accordance with article 92 of law 4099/2012, an annual contribution of one thousand (1,000) euros for each CIS sub-fund/compartment or for each CIS (in case of CIS) without sub-funds/compartments, shall be paid, provided that the units or shares have been marketed in Greece at least until 30 June of each year.

(iii) An AIFM established in another Member State under Directive 2011/61/EU marketing in Greece units or shares of an EU-AIF pursuant to article 32 par. 7 of law 4209/2013 and following notification according to par. 5 of this article, shall pay an annual contribution of one thousand (1,000) euros for each AIF sub-fund/compartment or for each AIF (in case of AIFs) without sub-funds/compartments, provided that the units or shares have been marketed in Greece at least until 30 June of each year.

(iv) An AIFM established in another Member State under Directive 2011/61/EU marketing in Greece a non-EU AIF following notification according to article 35 par. 10 of law 4209/2013, shall pay an annual contribution of one thousand (1,000) euros for each AIF sub-fund/compartment or for each AIF (in case of AIFs) without sub-funds/compartments, provided that the units or shares have been marketed in Greece at least until 30 June of each year.

(v) An AIFM established in a third country, marketing in Greece an EU-AIF following notification according to article 38 par. 10 of law 4209/2013, shall pay an annual contribution of one thousand (1,000) euros for each AIF sub-fund/compartment or for each AIF (in case of AIFs) without sub-funds/compartments, provided that the units or shares have been marketed in Greece at least until 30 June of each year.

(vi) An AIFM established in a third country, marketing in Greece a non-EU AIF following notification according to article 39 par. 11 of law 4209/2013, shall pay an annual contribution of one thousand (1,000) euros for each AIF sub-fund/compartment or for each AIF without sub-funds/compartments, provided that the units or shares have been marketed in Greece at least until 30 June of each year.

(vii) The contributions of this paragraph shall be paid in July of each year.

Article 12

Occupational Insurance Funds (and Mutual Funds)

1. Special authorization: The Fund shall pay a fee of five thousand (5,000) euros for the review of the application to receive special authorization to manage its own investments.

2. Suitability of the members of the investment committee: To review the reliability and professional experience of the members of the investment committee pursuant to par. 2.d of article 1 of chapter D of decision Φ.51010/οικ.1893/15/16.01.2015 (B 178) of the Minister of Labour, Social Security and Welfare, the Fund shall pay a fee of one hundred and fifty (150) euros for each natural person who is a member of the investment committee.

3. Periodic contributions:

The Fund shall pay an annual contribution of one thousand (1000) euros.

The contribution is cumulatively increased by the result of the product of the Fund's assets, as applicable, by the corresponding percentages of the following scale:

- a) For assets up to one million (1,000,000) euros, the percentage is 0.03%,
- b) for assets from one million and one (1,000,001) euros to ten million (10,000,000) euros, the percentage is 0.01%,
- c) for assets from ten million and one (10,000,001) euros to fifty million (50,000,000) euros, the percentage is 0.005%,
- d) for assets from fifty million and one (50,000,001) euros to one hundred million (100,000,000) euros, the percentage is 0.0025%,
- e) for assets over one hundred million (100,000,000) euros, the percentage is 0.0015%.

The contribution shall be calculated on the basis of the submitted tables of investment, the reference date being 31 December of each year, and shall be paid in July of the following year.

Article 12a

Hellenic Auxiliary Pensions Defined Contributions Fund under law 4826/2021

1. Periodic contributions:

The Fund shall pay an annual contribution of ten thousand (10,000) euros. The contribution is cumulatively increased by the result of the product of the Fund's assets, as applicable, by the corresponding percentages of the following scale:

- a) For assets up to one million (1,000,000) euros, the percentage is 0.03%,
- b) for assets from one million and one (1,000,001) euros to ten million (10,000,000) euros, the percentage is 0.01%,
- c) for assets from ten million and one (10,000,001) euros to fifty million (50,000,000) euros, the percentage is 0.005%,
- d) for assets from fifty million and one (50,000,001) euros to one hundred million (100,000,000) euros, the percentage is 0.0025%,
- e) for assets over one hundred million (100,000,000) euros, the percentage is 0.0015%.

The contribution shall be calculated on the basis of the submitted tables of investment, the reference date being 31 December of each year, and shall be paid in July of the following year.

Article 13

Operator of a Regulated Market under law 4514/2018

1. Authorization of an operator of a regulated market: The operator of a regulated market

to be authorized shall pay a fee of one hundred and fifty thousand (150,000) euros for the review of the application for granting the authorization, pursuant to par. 1 of article 45 of law 4514/2018.

2. Regulated market authorization: The regulated market operator shall pay a fee of one hundred thousand (100,000) euros for the review of the application for regulated market authorization pursuant to par. 1 of article 44 of law 4514/2018.

3. Multilateral Trading Facility (MTF) or Organised Trading Facility (OTF) authorization: The regulated market operator shall pay a fee of fifty thousand (50,000) euros for the review of the disclosure of information regarding its operation as an MTF or OTF pursuant to article 18 of law 4514/2018.

4. Authorization for data reporting services to a regulated market operator: The regulated market operator shall pay a fee of fifteen thousand (15,000) euros for the review of the application for authorization of an Approved Publication Arrangement (APA) and fifteen thousand (15,000) euros for the review of the application for authorization of an Approved Reporting Mechanism (ARM) pursuant to par. 2 of article 27b of Regulation (EU) no. 600/2014. The regulated market operator is obliged to pay the fees referred to in this paragraph, as long as the APA or ARM operation is subject to a derogation pursuant to article 2 par. 3 of Regulation (EU) no. 600/2014 and is therefore subject to approval by the Hellenic Capital Market Commission.

5. Registration of a MTF as an SME development market: For the review of the application for registering a MTF as a market for the development of small and medium enterprises according to par. 1 of article 33 of law 4514/2018, the regulated market operator shall pay a fee of ten thousand (10,000) euros. The fee of this paragraph shall not be paid for the registration of a MTF as a market for the development of small and medium enterprises during the review of an application for the granting of an authorization for MTF operation.

6. Amendment to regulated market regulations: The regulated market operator shall pay a fee of seven thousand (7,000) euros for the review of the application for authorization of amendment to regulated market regulations pursuant to par. 3 of article 47 of law 4514/2018. The fee referred to in this paragraph shall not be payable if the amendment to regulations has been requested by the Hellenic Capital Market Commission.

7. Amendment to MTF or OTF regulations: The regulated market operator managing an MTF or OTF shall pay a fee of four thousand (4,000) euros when notifying the Hellenic Capital Market Commission of amendments to MTF or OTF regulations.

8. Suitability of shareholders with qualifying holdings, members of the board of directors, persons effectively managing the activities of the regulated market operator and persons who are in a position to directly or indirectly exercise substantial influence on the management of the regulated market:

To review an application to acquire or increase qualifying holdings in a regulated market operator pursuant to par. 4 of article 45 of law 4514/2018, the regulated market operator shall pay a fee of two hundred and fifty (250) euros if the shareholder is a natural person and b) a fee of one thousand (1,000) euros if the shareholder is a legal entity. In the event

that the suitability of a shareholder is reviewed for the same natural person for duties in entities within the same group, a single fee shall apply as long as the applications for the said natural person in the individual entities are submitted within a period of three (3) months.

To assess the suitability of a member of the board of directors or a person effectively managing the activities of the regulated market operator and a person who is in a position to directly or indirectly exercise substantial influence on the management of the regulated market, pursuant to par. 4 of article 45 of law 4514/2018, the regulated market operator shall pay per natural person a fee of three hundred (300) euros for each member of the board of directors and each person effectively managing the activities of the regulated market operator and for each person who is in a position to exert, directly or indirectly, substantial influence on the management of the regulated market, pursuant to par. 4 of article 45 of Law 4514/2018. In the event that the suitability of a member of the Board of Directors is reviewed for the same natural person for duties in entities within the same group, a single fee shall apply as long as the applications for the said natural person in the individual entities are submitted within a period of three (3) months.

To assess the suitability of the same person as a shareholder acquiring qualifying holdings and as a member of the board of directors or as a person effectively managing its activities or as a person who is in a position to directly or indirectly exercise substantial influence on the management of the regulated market pursuant to items 1 and 2 of this paragraph, the regulated market operator shall pay a fee of three hundred (300) euros.

The fee referred to in this paragraph shall not be payable if the assessment of the suitability of a person is conducted in the context of the review of the application for the authorization of a regulated market operator.

9. Technical conditions for the settlement of transactions: The regulated market operator shall pay a fee of twenty thousand (20,000) euros to review an application for the assessment of technical conditions for the settlement of transactions concluded on the regulated market through a system other than that designated by the regulated market operator, pursuant to point b of par. 2 of article 37 of law 4514/2018.

10. Use of a central counterparty, clearing house or settlement system: The regulated market operator shall pay a fee of twenty thousand (20,000) euros to review an application to evaluate the use of a central counterparty, clearing house or settlement system pursuant to par. 2 of article 55 of law 4514/2018.

11. Delegation of regulated market powers to third parties: The regulated market operator shall pay a fee of five thousand (5,000) euros for the review of the application for authorization of delegation of regulated market powers to third parties, pursuant to par. 5b of article 6 of Commission Regulation EU 2017/584. The fee referred to in this paragraph shall not be payable for the delegation of regulated market powers to third parties in the context of the review of the application for the authorization of a regulated market operator.

12. CCP access authorization: The regulated market operator shall pay a fee of ten thousand (10,000) euros for the review of the application for access to a central counterparty pursuant to par. 4 of article 35 of Regulation (EU) No 600/2014.

13. Periodic contributions:

(i) The regulated market operator shall pay a biannual contribution equal to 10% of its revenue from transactions in financial instruments executed on the regulated market it operates. The contribution shall be calculated on the total gross revenue of the regulated market operator in the previous six months; it shall be certified by the operator's certified auditor-accountant and shall be paid within two (2) months of the expiry of the semester. For transactions in derivatives, the contribution referred to in this paragraph is set at half (1/2) of the above contribution.

(ii) The regulated market operator managing an MTF, OTF, APA or ARM shall pay every six months an additional contribution equal to 5% of its revenue from transactions on financial instruments that are either comprised in the MTF or OTF or notified to the APA or ARM it manages. The contribution shall be calculated on the total gross revenue of the MTF, OTF, APA or ARM operator in the previous six months as certified by the operator's auditor and it shall be paid within two (2) months of the expiry of the six-month period.

The regulated market operator operating in Greece is obliged to pay the contributions of this paragraph if it is in operation on the first business day of the current six-month period and if the operation of the APA or ARM has a derogation according to article 2 par. 3 of Regulation (EU) no. 600/2014 and is therefore subject to supervision by the Hellenic Capital Market Commission.

Article 14

Central Counterparty under Regulation (EU) 648/2012 of the European Parliament and of the Council

Subject to the provisions of article 16 on fees and periodic contributions payable by the system operator, the central counterparty (CCP) shall pay the following fees and periodic contributions:

1. Authorization: The central counterparty to be authorized shall pay a fee of one hundred and fifty thousand (150,000) euros for the review of the application for granting the authorization, pursuant to par. 1 of article 14 of Regulation (EU) No 648/2012.

2. Extension of authorization: The central counterparty shall pay a fee of seventy-five thousand (75,000) euros for the review of the application for granting the authorization for extension of the central counterparty services pursuant to par. 1 of article 15 of Regulation (EU) No 648/2012.

3. Suitability of shareholders with qualified holdings, members of the board of directors and senior management members:

To review an application to acquire or increase qualifying holdings in a central counterparty pursuant to article 31 par. 2 of Regulation (EU) 648/2012, the central counterparty shall pay a fee of two hundred and fifty (250) euros if the shareholder acquiring or increasing qualifying holdings is a natural person, or one thousand (1,000) euros if the shareholder acquiring or increasing qualifying holdings is a legal entity. In the event that the suitability of a shareholder is reviewed for the same natural person for duties in entities within the same group, a single fee shall apply as long as the applications for the

said natural person in the individual entities are submitted within a period of three (3) months.

To assess the suitability of the members of the board of directors or a senior management executive pursuant to par. 1 of article 31 of Regulation (EU) 648/2012, the central counterparty shall pay a fee of three hundred (300) euros per natural person. In the event that the suitability of a member of the Board of Directors is reviewed for the same natural person for duties in entities within the same group, a single fee shall apply as long as the applications for the said natural person in the individual entities are submitted within a period of three (3) months.

To assess the suitability of the same person as a shareholder with qualifying holdings and as a member of the board of directors or as a senior management executive pursuant to items 1 and 2 of this paragraph, the central counterparty shall pay a fee of three hundred (300) euros.

The fees referred to in this paragraph shall not be payable when the assessment of the suitability of a person is conducted in the context of the review of the application for the authorization of a central counterparty.

4. Outsourcing of major activities: The central counterparty shall pay a fee of twenty thousand (20,000) euros for the review of the application for authorization of outsourcing of major central counterparty activities linked to risk management, pursuant to the last item of par. 1 of article 35 of Regulation (EU) No 648/2012.

5. Approval of changes to models and parameters: The central counterparty shall pay a fee of fifty thousand (50,000) euros for the review of the application for authorization of significant changes to models and parameters pursuant to article 49 (1) of Regulation (EU) 648/2012.

6. Interoperability arrangements: The central counterparty shall pay a fee of fifty thousand (40,000) euros for the review of the application for authorization of interoperability arrangements pursuant to article 54 (1) of Regulation (EU) 648/2012.

7. Permission to access a trading venue: The central counterparty shall pay a fee of ten thousand (10,000) euros for the review of the application for permission to access a trading venue pursuant to par. 4 of article 36 of Regulation (EU) No 600/2014.

8. Amendment to CCP regulations: The central counterparty shall pay a fee of seven thousand (7,000) euros for the review of the application for authorization of amendments to CCP regulations pursuant to Regulation (EU) 648/2012.

9. Periodic contributions:

The central counterparty shall pay to the Hellenic Capital Market Commission a biannual contribution equal to 7.5% of its revenue from the provision of clearing services. The contribution shall be calculated on the total gross revenue from the provision of such services in the previous six months; it shall be certified by the operator's certified auditor-accountant and shall be paid within two (2) months of the expiry of the six-month period. For transactions in derivatives, the contribution referred to in this paragraph is set at half (1/2) of the above contribution.

Article 15

Central Securities Depository under Regulation (EU) No 909/2014 of the European Parliament and the Council

Subject to the provisions of article 16 on fees and periodic contributions payable by the system operator, the Central Securities Depository (CSD) shall pay the following fees and periodic contributions:

1. Authorization: The CSD to be authorized shall pay a fee of one hundred thousand (100,000) euros for the review of the application for granting the authorization, pursuant to article 16 of Regulation (EU) No 909/2014.

2. Participation of CSD in legal entity: The CSD shall pay a fee of thirty thousand (30,000) euros for the review of the application for authorization of its participation in legal entity, pursuant to article 18 of Regulation (EU) No 909/2014.

3. Extension of authorization: The CSD shall pay a fee of thirty thousand (30,000) euros for the review of the application for extension of its authorization to one or more of the activities referred to in article 19 of Regulation (EU) No 909/2014.

4. Amendment to CSD regulations: the CSD shall pay a fee of seven thousand (7,000) euros for the review of the application for authorization of an amendment to CSD regulations, pursuant to par. 2 of article 4 of Law 4569/2018.

5. Outsourcing of core activity: The CSD shall pay a fee of twenty thousand (20,000) euros for the review of the application for outsourcing a core activity pursuant to article 19 of Regulation (EU) No 909/2014.

6. Suitability of the senior management and the management body of the CSD:

To assess the suitability of the members of the senior management and the management body of the CSD pursuant to par. 1 and 4 of article 27 of Regulation (EU) No 909/2014, the CSD shall pay a fee of three hundred (300) euros.

The fees referred to in this paragraph shall not be payable when the assessment of the suitability of a person is conducted in the context of the review of the application for the authorization of a CSD.

7. Suitability of shareholders with qualifying holdings and of persons who are in a position to exercise, directly or indirectly, control over the management of the CSD:

To assess the suitability of shareholders and persons who are in a position to exercise, directly or indirectly, control over its management pursuant to par. 6 of article 27 of Regulation (EU) No 909/2014, the CSD shall pay a fee of two hundred and fifty (250) euros in case of a natural person or one thousand (1,000) euros in case of a legal entity. In the event that the suitability is reviewed for the same natural person for duties in entities within the same group, a single fee shall apply as long as the applications for the said natural person in the individual entities are submitted within a period of three (3) months.

To assess the suitability of the same person simultaneously as a shareholder and as a person who is in a position to exercise, directly or indirectly, control over its management, the CSD shall pay a fee of three hundred (300) euros.

The fees referred to in this paragraph shall not be payable when the assessment of the suitability of a person is conducted in the context of the review of the application for the authorization of a CSD.

8. Transfer of ownership rights: To review an application to approve the transfer of ownership rights which give rise to a change in the identity of the persons exercising control over its operation pursuant to par. 7 of article 27 of Regulation (EU) No 909/2014, the CSD shall pay a fee of one hundred (100) euros in case of a natural person or one thousand (1,000) euros in case of a legal entity

9. Establishment of links: The CSD shall pay a fee of fifty thousand (50,000) euros for the review of the application for authorization of the establishment of links to another CSD pursuant to article 19 par. 1 item e) of Regulation (EU) No 909/2014. For notification of the link to another CSD pursuant to article 19 par. 5 of Regulation (EU) No 909/2014, the CSD shall pay a fee of five thousand (5,000) euros.

10. Provision of bank-type ancillary services: The CSD shall pay a fee of seventy thousand (70,000) euros for the review of the application for authorization to provide bank-type ancillary services itself or by designation of a credit institution pursuant to Article 54 of Regulation (EU) No 909/2014.

11. Extension of authorization to provide bank-type ancillary services: The CSD shall pay a fee of twenty-five thousand (25,000) euros for the review of the application for extension of authorisation to provide bank-type ancillary services pursuant to article 56 of Regulation (EU) No 909/2014.

12. Periodic contributions:

The CSD shall pay a biannual contribution equal to 7.5% of its revenue from the provision of core or ancillary services or bank-type services referred to in Regulation (EU) No 909/2014. The contribution shall be calculated on the total gross revenue from the provision of such services in the previous six-month period; it shall be certified by the auditor of the CSD and shall be paid within two (2) months of the expiry of each calendar six-month period.

Article 16

System Operator under law 3606/2007.

Subject to the provisions of article 14 on fees and periodic contributions payable by the central counterparty, the system operator shall pay the following fees and periodic contributions:

1. Authorization: The system operator to be authorized shall pay a fee of fifty thousand (50,000) euros for the review of the application for authorization pursuant to article 74 of law 3606/2007.

2. System authorization: The system operator shall pay a fee of one hundred thousand (100,000) euros for the review of the application for system authorization pursuant to article 73 of law 3606/2007.

3. Amendment to system regulations: The system operator shall pay a fee of seven thousand (7,000) euros for the review of the application for authorization of amendments

to the system regulations pursuant to article 73 par. 3 of law 3606/2007.

4. Suitability of shareholders with qualified holdings, members of the board of directors and senior management members:

To assess the suitability of persons acquiring qualifying holdings in a system operator pursuant to . 1 of article 74 of law 3606/2007 in conjunction with par. 4 of article 42 of Law 3606/2007, and to assess the suitability of persons whose holdings in a system operator vary according to par. 1 of article 74 of law 3606/2007 in conjunction with par. 6 of article 42 of law 3606/2007, the system operator shall pay a fee of two hundred and fifty (250) euros if the shareholder acquiring qualifying holdings is a natural person, or one thousand (1,000) euros if the shareholder acquiring qualifying holdings is a legal entity. In the event that the suitability is reviewed for the same natural person for duties in entities within the same group, a single fee shall apply as long as the applications for the said natural person in the individual entities are submitted within a period of three (3) months.

To assess the suitability of the members of the board of directors and the other persons referred to in par. 5 of article 42 of law 3606/2007 in conjunction with par. 1 of article 74 of law 3606/2007, the system operator shall pay a fee of three hundred (300) euros per natural person.

To assess the suitability of the same person as a shareholder with qualifying holdings and as a senior management executive or a member of the board of directors pursuant to items 1 and 2 of this paragraph, the system operator shall pay a fee of three hundred (300) euros.

The fees referred to in this paragraph shall not be payable when the assessment of the suitability of a person is conducted in the context of the review of the application for the authorization of a system operator.

5. Delegation of clearing or settlement or risk management activities: The system operator shall pay a fee of twenty thousand (20,000) euros for the review of the application for authorization of delegation of clearing or settlement or risk management activities.

6. Agreements to hold book-entry securities, establish links with foreign systems or registers or credit institutions or investment service companies acting as depositaries: The system operator shall pay a fee of five thousand (5,000) euros for the review of the application for assessment of agreements to hold book-entry securities, establish links with foreign systems or registers or credit institutions or investment firms acting as depositaries, concluded by the Dematerialized Securities System (DSS) operator pursuant to article 6 of law 3756/ 2009.

7. Periodic contributions:

(i) The clearing system operator under law 3606/2007 shall pay a biannual contribution equal to 7.5% of its revenue from the provision of clearing services. The contribution shall be calculated on the total gross revenue from the provision of such services in the previous six-month period; it shall be certified by the operator's auditor and shall be paid within two (2) months of the expiry of the six-month period.

(ii) The settlement system operator under law 3606/2007 or the DSS operator under law 3756/2009 shall pay a biannual contribution equal to 7.5% of its revenue from the provision

of settlement of transaction and registration services. The contribution shall be calculated on the total gross revenue from the provision of such services in the previous six-month period; it shall be certified by the operator's auditor and shall be paid within two (2) months of the expiry of the six-month period. For transactions in derivatives, the contribution referred to in this paragraph is set at half (1/2) of the above contribution.

Article 17

Issuers

1. Approval of the content of the prospectus and listing of securities in a regulated market. An issuer shall pay the following fees to the Hellenic Capital Market Commission:

a) a fixed-rate fee, payable with the submission of the application for review of the prospectus, amounting to:

aa) Ten thousand (10,000) euros, for the review of the contents of a single prospectus,

ab) four thousand (4,000) euros for the review of the contents of the reference document and four thousand (4,000) euros for the review of the contents of the securities note for a prospectus comprising separate documents, pursuant to article 10 of Regulation (EU) 2017/1129 of the European Parliament and the Council of 14.6.2017,

ac) one thousand (1,000) euros for the review of the prospectus supplement, pursuant to article 23 of Regulation (EU) 2017/1129 of the European Parliament and of the Council of 14.6.2017,

ad) five thousand (5,000) euros for the review of the base prospectus pursuant to article 8 of Regulation (EU) 2017/1129 of the European Parliament and of the Council of 14.6.2017,

ae) one thousand (1,000) euros for each document referred to in points 4(f), 4(g), 4(h), 4(i), 5(e), 5(f), 5(g) and 5(h) of article 1 of Regulation (EU) 2017/1129 of the European Parliament and the Council of 14.6.2017. If, for the same corporate transaction, documents are made available both for the offer and for the listing of securities for trading on a regulated market, within the meaning of the 1st definition of article 2 of Law 4706/2020, the fee of one thousand (1,000) euros shall be paid once,

af) five thousand (5,000) euros for the review of the contents of the prospectus for the introduction of shares/units of a tradable mutual fund to be traded on an organized market,

ag) five thousand (5,000) euros for the review of the content of the information sheet pursuant to article 59 of law 4706/2020 and

b) a variable fee equal to the product of the value of the securities admitted to trading on a regulated market operating in Greece, or the product of the value of the securities in the event that the securities are not admitted to the regulated market, by the corresponding percentages of the following scale:

i. For a value of securities up to one hundred million (100,000,000) euros, the percentage is four hundredths of a percent (0.04%),

ii. for a value of securities greater than one hundred million and one (100,000,001) euros, the percentage is two hundredths of a percent (0.02 %).

The variable fee (b) shall be paid in each case of admission of securities on the regulated market.

The variable fee of item (b) cannot be less than ten thousand (10,000) euros or

c) a variable fee amounting to two thousandths of a percent (0.002 %) on the total value of bonds or other fixed income securities issued by the Greek State.

In the case of fixed income securities issued by the Greek State, the amount due is paid periodically after a relevant notification by the competent Directorate of the State General Accounting Office to the Hellenic Capital Market Commission regarding the total value of the new securities with a duration of one year or longer and the corresponding amount to be paid.

The variable fee referred to in item c) cannot be less than two thousand (2,000) euros.

The regulated market allows the admission of securities for trading as long as the prescribed fees have been paid to the Hellenic Capital Market Commission.

The variable fee referred to in item (b) shall be paid before the admission of the securities.

In cases of share capital increase, the regulated market informs the Hellenic Capital Market Commission about the coverage of the increase no later than three (3) working days after the company has submitted the relevant supporting documents.

Especially in the case of admission of shares from conversion of bonds - OTF - STOCK OPTION - Dividend reinvestment or Share Capital Increase due to the exercise of a stock option plan, the variable fee referred to in b) cannot be less than two thousand euros (€2,000).

Definitions: To calculate the fees due under item (b) of par. 1 of this article, value shall mean:

a) In case of sale or admission of securities, the total securities to be sold or admitted, multiplied by the issue or sale price.

b) In case of admission of shares from the conversion of bonds - OTF - STOCK OPTION - Dividend reinvestment, the value is equal to the number of new shares multiplied by the introduction price

c) In case of a company' share capital increase by cash payment, the number of new shares to be admitted, multiplied by the sale price.

d) In the case of a share capital increase by capitalization of reserves and distribution of shares free-of-charge, the total of the shares to be admitted, multiplied by the nominal value of the shares.

In the case of a share capital increase by capitalization of reserves and an increase in the nominal value of the shares, the existing number of shares multiplied by the difference between the new and the old nominal value. No fee shall be paid for the amount of the increase that comes from capitalized reserves for which a fee has already been paid, such as, indicatively, capitalized reserves from the issue of shares at a premium for which a fee had been paid when the shares were listed on the organized market.

e) In the case of composite share capital increases, such as, indicatively, share capital increases by capitalization of reserves and increase in the nominal price of existing shares and the distribution of shares free-of-charge or an increase in Share Capital by payment of

cash and at the same time distribution of shares free-of-charge from the capitalization of reserves, the value of the shares to be admitted per increase category as described in the above cases.

f) In the case of parallel listing of securities on a regulated market in Greece and abroad, the total number of the shares to be listed multiplied by their starting trading price.

g) In the case of admission of shares from the conversion of bonds not listed in an organized market, the total of the shares to be introduced multiplied by the adjusted price of the share on the date of filing the supporting documents in the organized market. In this case, the minimum limit of ten thousand (10,000) euros referred to in par. 1 of this article shall not apply.

h) In the case of an increase and a simultaneous decrease in the share capital of a company with an equal increase and decrease in the nominal price of the share, the variable fee of item (b) of par. 1 shall not be due.

i) In the case of an increase in the Share Capital of a company in view of a merger or absorption, the value of only the new shares admitted in a regulated market, which is calculated based on the closing price on the day of approval by the Hellenic Capital Market Commission of the content of the prospectus/information document or on the day of notification of the Hellenic Capital Market Commission regarding the content of the document of article 1 of Regulation (EU) 2017/1129 of the European Parliament and of the Council of 14.6.2017, as the case may be. In the case where a reference number is assigned to the aforementioned notification of the Hellenic Capital Market Commission, the day of notification is considered to be the day on which the said reference number assignment was made.

j) In the case of replacing securities or increasing or decreasing the number of shares, where there is no change in the amount of the Share Capital, the variable fee of item (b) of par. 1 shall not be due.

k) In the case of admission of bonds or fixed income securities in a regulated market, the number of securities admitted, multiplied by their issue price.

l) In the case of admission of shares without a prior offer to the public within the meaning of point (d) of article 2 of Regulation (EU) 2017/1129 of the European Parliament and of the Council of 14.6.2017, the total number of the shares to be listed multiplied by their starting trading price.

2. Authorization of public offers and approval of the contents of the relevant prospectus: The issuer shall pay the following fees to the Hellenic Capital Market Commission for the authorization of public offers and approval of the contents of the relevant prospectus:

a) A fee of fifteen thousand (15,000) euros for a mandatory or an optional public offer, which shall be paid upon submission of the relevant application; and

b) a variable fee amounting to 0.03% of the value of the securities to which the public offer relates, payable within two working days from the approval of the contents of the prospectus by the Hellenic Capital Market Commission. This value shall be calculated on the basis of the public offer price. The variable fee referred to in the above paragraph cannot be less than the amount of five thousand (5,000) euros.

3. Review of an application for delisting from the regulated market: A fee of five thousand (5,000) euros shall be payable for the review of an application to delist shares from the regulated market. The fee in question shall amount to two thousand (2,000) euros, when a public offer has already been submitted and the right of redemption has been exercised.

4. Periodic contributions:

a) A company whose securities are admitted on a regulated market operating in Greece shall pay to the Hellenic Capital Market Commission an annual fixed-rate contribution of five thousand (5,000) euros. A company whose shares are included in an MTF operating in Greece shall pay to the Hellenic Capital Market Commission an annual fixed-rate contribution of two thousand (2,000) euros.

b) The fixed-rate contribution shall increase cumulatively by the product of the average daily capitalization of the company in the previous calendar year, if more than twenty million (20,000,000) euros, by the corresponding percentages of the following scale:

i. For capitalization between twenty million and one (20,000,001) and one hundred million (100,000,000) euros, a percentage of 0.0055%,

ii. for capitalization between one hundred million and one (100,000,001) and two hundred million (200,000,000) euros, a percentage of 0.0045%,

iii. for capitalization between two hundred million and one (200,000,001) and five hundred million (500,000,000) euros, a percentage of 0.0035%,

iv. for capitalization between five hundred million and one (500,000,001) and one billion (1,000,000,000) euros, a percentage of 0.0025%,

v. for capitalization between one billion and one (1,000,000,001) and three billion (3,000,000,000) euros, a percentage of 0.0015 %,

vi. for capitalization over three billion and one (3,000,000,001) euros, a percentage of 0.0003%.

The contribution, which is based on the average daily capitalization of each company during the previous calendar year, shall be paid in half in March and in September. The company shall be obliged to pay this contribution if, on the first working day of the current calendar year, its securities were admitted on a regulated market or included in an MTF, even if they are subject to suspended trading. In this case, the total contribution cannot exceed the amount of two hundred thousand (200,000) euros.

For the calculation of the contribution referred to in par. 4 if the securities are listed for a period less than one year, the average daily capitalization of the company during the period until their delisting shall be taken into account.

Article 18

Certification of professional suitability under article 93 of law 4514/2018

1. For participation in the Certification Examinations or Certification Seminar, the applicant shall pay a certification fee, as defined in the decision of the Hellenic Capital Market Commission on certifications issued pursuant to the authorization of Article 93 of law 4514/2018. For participation in the Certification Examinations electronically and

remotely, as well as for the participation in the Certification Examinations in the English language, the applicant shall pay a certification fee, as defined in the above relevant decision of the Hellenic Capital Market Commission on certifications. In case of late applications for participation in examinations up to 10 days before the examinations, a fee of three thousand (300) euros shall apply.

2. For the recognition of the equivalence of a foreign certificate, the applicant shall pay a certification fee, as defined in the decision of the Hellenic Capital Market Commission on certifications which is mentioned in paragraph 1.

3. For the renewal of a Certificate, the applicant shall pay a renewal fee, as defined in the decision of the Hellenic Capital Market Commission on certifications which is mentioned in paragraph 1.

4. Assessment of seminar file: For the assessment of the file of the Certification Seminar or the Training Seminar, concerned stakeholders in organizing such seminar shall pay an assessment fee of one thousand five hundred (1,500) euros concerning the part of the Hellenic Capital Market Commission.

5. Approval of significant changes: (a) For the approval of significant changes or additions to the material and other certificates in the Certification Seminar file, the agency assigned with conducting the seminar shall pay a fee of one thousand euros (1,000) for the part concerning the Hellenic Capital Market Commission. (b) For the approval of significant changes to a Training Seminar file, the agency assigned with conducting the seminar shall pay a fee of two hundred and fifty (250) euros for the part concerning the Hellenic Capital Market Commission.

6. Agencies that organize examinations, agencies that organize certification seminars and agencies that organize training seminars for the renewal of certificates, shall pay an annual fee, as defined in the decision of the Hellenic Capital Market Commission on certifications which is mentioned in paragraph 1.

7. For the granting of a Certificate or the issuance of a certification attestation in the event of a loss, the applicant shall pay a fee as defined in the decision of the Hellenic Capital Market Commission on certifications which is mentioned in paragraph 1.

Article 19

Organization of seminars and participation in research, training or advisory programs relating to the capital market

The fees for the organization of seminars and contributions from participation in research, training or advisory programs relating to the capital market shall be determined according to the costs of each seminar or program.

Article 20

Waiver of pre-trade transparency obligations for market operators and investment firms under Regulation (EU) 600/2014

1. Waiver of pre-trade transparency obligations for equity instruments: The market

operator, as well as the investment firm operating trading venues, shall pay a fee of five thousand (5,000) euros for the review of the application for waiving pre-trade transparency obligations for equity instruments pursuant to par. 1 of article 4 of Regulation (EU) No 600/2014.

2. Authorization of deferred publication of transactions in equity instruments: The market operator, as well as the investment firm operating trading venues, shall pay a fee of five thousand (5,000) euros for the review of the application for authorization of deferred publication of transactions in equity instruments pursuant to par. 1 of article 7 of Regulation (EU) No 600/2014.

3. Waiver of pre-trade transparency obligations for non-equity instruments. The market operator, as well as the investment firm operating trading venues, shall pay a fee of five thousand (5,000) euros for the review of the application for waiving pre-trade transparency obligations for non-equity instruments (bonds, structured finance products, emission allowances and derivatives) pursuant to par. 1 of article 9 of Regulation (EU) No 600/2014.

4. Authorization of deferred publication of transactions in non-equity instruments: The market operator, as well as the investment firm operating trading venues, shall pay a fee of five thousand (5,000) euros for the review of the application for authorization of deferred publication of transactions in non-equity instruments (bonds, structured finance products, emission allowances and derivatives) pursuant to par. 1 of article 11 of Regulation (EU) No 600/2014.

Article 21

Benchmark administrators under Regulation (EU) 2016/1011

1. Benchmark administrator authorization (article 34.1.a): For its authorization, if it provides or intends to provide indices which are used or intended to be used as benchmarks, the benchmark administrator shall pay a fee of one thousand five hundred (1,500) euros.

2. Recognition of an administrator located in a third country (Article 32.1): For its recognition if it intends to provide indices which are intended to be used as benchmarks within the EU and if an equivalence decision (Article 30) has not been issued by then, the benchmark administrator shall pay a fee of one thousand five hundred (1,500) euros.

3. Administrator registration (article 34.1.b.c): For registration if it provides or intends to provide benchmarks within the meaning of Regulation (EU) 2016/1011) or only indices which would qualify as non-significant benchmarks and would be included in the list of indices, the administrator shall pay a fee of one thousand (1,000) euros.

4. Withdrawal or suspension of an administrator's authorization or registration (article 35): For the withdrawal or suspension of its authorization or registration, the administrator shall pay a fee of five hundred (500) euros.

5. Endorsement of benchmarks provided in a third country within the Union (Article 33): An administrator requesting the endorsement of a benchmark or a family of benchmarks shall pay a fee of five hundred (500) euros and one hundred (100) euros for each individual benchmark of the family.

6. Periodic contributions:

(i) An administrator that has been authorized to provide the benchmarks referred to in point a of par. 1 of article 34 shall pay an annual contribution of one thousand (1,000) euros per index.

(ii) An administrator who is registered under points b and c of par. 1 of article 34 shall pay an annual contribution of five hundred (500) euros per index.

The administrator shall pay the contributions referred to in this paragraph in August per year, provided that its authorization or registration was valid on 30 June of the same year.

Article 22

Companies that provide custodianship services to AEDAK and/or AIFMC

A company that on June 30 of each year provides UCITS custodianship services to a Mutual Fund Management Société Anonyme (AEDAK) pursuant to the provisions of law 4099/2012 or AIF custodianship services to an Alternative Investment Fund Management Company (AIFMC) pursuant to the provisions of law 4209/2013, shall pay an annual contribution of two thousand five hundred (2,500) euros.

This amount shall be increased by five hundred (500) euros for each additional AEDAK and/or AIFMC to which the company provides the custodianship service.

The contribution shall be paid every July per year.

Article 23

Data Reporting Service Providers under law 4514/2018 that are not AEPEY or
Market Operators

Subject to articles 1 and 13 on the fees and periodic contributions paid by AEPEY and market operators, data reporting service providers that are not companies authorized by the Hellenic Capital Market Commission shall pay the following fees and periodic contributions:

1. Authorization to operate data reporting services: The provider of data reporting services shall pay a fee of fifteen thousand (15,000) euros for the review of the application for authorization of an Approved Publication Arrangement (APA) and fifteen thousand (15,000) euros for the review of the application for authorization of an Approved Reporting Mechanism (ARM) pursuant to par. 1 of article 59 of law 4514/2018.

2. Suitability of a member of the management body of a data reporting service provider: To assess the suitability of the management bodies of the data reporting service provider pursuant to par. 2 of article 63 of law 4514/2018, the data reporting service provider shall pay a fee of two hundred and fifty (250) euros per natural person.

3. Periodic contributions:

The data reporting service provider shall pay an annual contribution equal to five per cent (5%) of its turnover deriving from the above services provided. The contribution referred to in this item shall be calculated on the basis of the annual published financial statements of the previous year and shall be certified by the provider's auditor. The

contribution shall be paid within two months of the publication of the financial statements and at the latest in July of each year.

A data reporting services provider operating in Greece shall be obliged to pay the contributions referred to in the above paragraph if it is in operation on the first working day of the current year.

Article 24

Authorization to a third party pursuant to par. 1 of article 28 of Regulation (EU) 2017/2402

For the review of the application for authorization pursuant to par. 1 of article 28 of law 4514/2018, the entity that undertakes to check whether a securitization complies with articles 19 to 22 or articles 23 to 26 pursuant to par. 2 of article 27 of Regulation (EU) 2017/2402 shall pay a fee of five thousand (5,000) euros for the review of the application for granting an authorization pursuant to par. 1 of article 28 of law 4514/2018.

Article 25

Registration fees and annual fees of custodian wallet providers and providers of exchange services between virtual currencies and fiat currencies

Custodian wallet providers and providers of exchange services between virtual currencies and fiat currencies shall pay to the Hellenic Capital Market Commission a one-off fee of one thousand five hundred (1,500) euros for the submission of the application and the processing of their registration in the relevant Registers and an annual fee of one thousand (1,000) euros to cover their supervision costs per service provided. The annual contribution shall be paid every January, as long as the provider is registered in the relevant Register on 31 December of the previous year.

Article 26

Crowdfunding service providers (Regulation (EU) 2020/1503)

1. Authorization. The crowdfunding service provider to be authorized shall pay a fee of five thousand (5,000) euros for the review of the application for granting the authorization, pursuant to par. 1 of article 12 of Regulation (EU) 2020/1503.

2. For the withdrawal of the authorization of a crowdfunding service provider at its own request, the crowdfunding service provider shall pay a fee of five hundred (500) euros.

3. Suitability of persons referred to in point k) of par. 2 of article 12 of Regulation (EU) 2020/1503. For the review of these persons pursuant to point a) of par. 3 of article 12 of Regulation (EU) 2020/1503, the crowdfunding service provider shall pay a fee of two hundred (200) euros for each natural person.

The fee referred to in this paragraph shall not be payable if the assessment of the suitability of a person is conducted in the context of the review of the application for the authorization of a crowdfunding service provider.

4. Provision of crowdfunding services in another Member State. The crowdfunding service provider shall pay a fee of two thousand (2,000) euros for the notification of intention to provide services in another Member State pursuant to article 18 of Regulation (EU) 2020/1503.

5. Periodic contributions.

(i) The crowdfunding service provider shall pay an annual contribution calculated as the sum of:

(a) A fixed-rate contribution of

(aa) five hundred (500) euros and

(b) a cumulative increase equal to the product of the company's turnover, if more than seven hundred thousand (700,000) euros, by the corresponding percentages of the following scale:

(aa) for turnover between seven hundred thousand and one (700,001) euros and five million (5,000,000) euros, the percentage is 0.3%,

(bb) for turnover between five million and one (5,000,001) euros and ten million (10,000,000) euros, the percentage is 0.15%,

(cc) for turnover greater than ten million (10,000,000) euros, the percentage is 0.10%.

The turnover shall result from the annual audited financial statements of the crowdfunding service provider for the previous financial year.

The increase referred to in par. b shall be paid by companies that are not subject to the obligation to pay another periodic contribution pursuant to this decision.

(ii) The crowdfunding service provider shall pay an annual contribution of one thousand (1,000) euros per Member State for which it has notified its intention to provide its services.

The crowdfunding service provider shall pay the contributions referred to in this paragraph every August, provided that its authorization was valid on 30 June of the same year.

Article 27

Financial holding companies and mixed financial holding companies

1. Approval of financial holding companies and mixed financial holding companies (except group holding companies that include credit institutions): The financial holding company and mixed financial holding company to be authorized shall pay a fee of three thousand (3,000) euros for the review of the application for authorization pursuant to par. 14 of article 22a of law 4261/2014 (per application).

2. Periodic contributions.

(i) The financial holding company and mixed financial holding company (except group holding companies that include credit institutions) shall pay an annual contribution calculated as the sum of:

(a) Fixed-rate contribution of:

(aa) One thousand (1,000) euros and

(b) a cumulative increase equal to the product of the company's turnover, if more than seven hundred thousand (700,000) euros, by the corresponding percentages of the following scale:

(aa) For turnover between seven hundred thousand and one (700,001) euros and five million (5,000,000) euros, the percentage is 0.3%,

(bb) for turnover between five million and one (5,000,001) euros and ten million (10,000,000) euros, the percentage is 0.15%,

(cc) for turnover greater than ten million (10,000,000) euros, the percentage is 0.10%.

The turnover shall result from the annual audited financial statements of the financial holding company and the mixed financial holding company for the previous financial year.

The financial holding company and the mixed financial holding company shall pay the contributions referred to in this paragraph in August per year, provided that its authorization was valid on 30 June of the same year.

Article 28

Application for registration of a PEPP - Opening a PEPP sub-account - Exercise of freedom to provide services in Greece

1. For the review of the application for registration of a PEPP or its modification pursuant to Article 6 of Regulation 1238/2019, the entity supervised by the Hellenic Capital Market Commission shall pay a fee of five thousand (5,000) euros.

2. For the notification of the intention to open a PEPP sub-account in Greece pursuant to article 21 of Regulation 1238/2019 or the notification of the provision of PEPP services pursuant to article 15 of the same Regulation in Greece, as well as any modification of said notifications, the entity supervised by the Hellenic Capital Market Commission shall pay a fee of two thousand (2,000) euros.

Article 29

DLT service providers
(Regulation (EU) 2022/858)

1. Specific permission to operate a DLT MTF: A legal entity who is authorized as an investment firm, or authorized to operate a regulated market, under Directive 2014/65/EU shall pay a fee of eighty thousand (80,000) euros for the granting of a specific permission to operate a DTL MTF DLT under Regulation (EU) 2022/858.

2. Specific permission to operate a DLT SS: A legal entity who is authorized as a CSD under Regulation (EU) No 909/2014 on granting special authorization shall pay a fee of eighty thousand (80,000) euros for the granting of a specific permission to operate a DLT SS pursuant to EU Regulation 2022/858.

3. Specific permission to operate a DLT TSS: A legal entity authorized to operate as an investment firm or authorized to manage a regulated market under Directive 2014/65/EU or authorized to operate as a CSD under Regulation (EU) No 909/2014 shall pay a fee of eighty thousand (80,000) euros for the granting of a DLT TSS authorization pursuant to

EU Regulation 2022/858.

4. When a legal entity submits at the same time an application for authorization of an investment firm or market operator or CSD and an application for the granting of the specific permissions referred to in paragraphs 1 to 3 of this article, it shall cumulatively pay the fees corresponding to both authorizations/permissions.

5. Periodic contributions: A legal entity authorized to operate as an investment firm or to manage a regulated market under Directive 2014/65/EU or authorized to operate as a CSD under Regulation (EU) No 909/2014 and which has received a specific permission to operate a DLT infrastructure, shall pay a biannual contribution equal to 10% of its revenues derived from transactions in the DLT infrastructure for which it is authorized, which shall be paid within two (2) months from the expiry of each calendar six-year period.

Article 30

General provisions

1. Fees and contributions payable to the Hellenic Capital Market Commission, increased by a 2.4% stamp duty, shall be deposited in the Bank of Greece (SWIFT BIC BNGRGRGA) and shall be credited to the Hellenic Capital Market Commission account under number 26215-4 and IBAN GR59010002400000000000262154.

2. The payment of the fees referred to in this decision shall be a necessary condition for the acceptable submission of the relevant application or notification. Therefore, paid fees shall not be returned. A copy of the deposit receipt, with the full details of the depositor and the reason for the deposit, shall be submitted with the relevant application or notification. Moreover, in case of a share capital increase for which fees must be paid, the regulated market shall transmit to the Hellenic Capital Market Commission, within two days of the expiry of the subscription period or the completion of the share capital increase, notification about the exact number of securities to be admitted and their sale price.

3. The periodic contributions referred to in this decision correspond to services provided by the supervised company or capacities of the supervised company and, therefore, if a supervised person provides more than one service or holds more than one capacity, they shall pay the corresponding contributions to the Hellenic Capital Market Commission cumulatively. The periodic contribution shall be paid in half if the liable person maintains such capacity for less than half of the period covered by the periodic contribution, otherwise it shall be paid in full (for example, the periodic contribution is paid in half when the liable person ceases to have this capacity for a period of less than six months in the case of an annual contribution).

4. For each fee due, the Hellenic Capital Market Commission shall issue a relevant Invoice of fees and for each amount deposited into its bank account it shall issue a Proof of Receipt. These documents shall be sent by post to the supervised entities.

5. Overdue debts to the Hellenic Capital Market Commission are interest-bearing at the applicable statutory default interest rate, as determined by an act of the Governor of the Bank of Greece. Overdue debts should be paid by the supervised entity before it submits any request for which a fee is provided for in this ministerial decision. The delay shall

apply per month until the date of payment and, if the payment delay concerns a period of less than a month, a late payment surcharge is calculated for the entire month. Overdue debts beyond the six-month period are certified at the end of each calendar quarter by the Hellenic Capital Market Commission to the competent Tax Offices and are collected in its favor pursuant to the Public Revenue Collection Code. Eight percent (8%) is withheld from the collected amount as a collection cost for the State. If the debtor lacks a Greek Tax Identification Number (i.e. is a foreigner), the procedure with Ref. No. ΠΟΛ 1123/2018 must first be implemented so that a domestic TIN can be issued.

6. In the articles hereof where reference is made to Member States, this shall also mean the countries of the European Economic Area (EEA), provided that they have transposed the relevant Directive or the relevant Regulation into their national law.

Article 31

Transitional provisions

Specifically for the first implementation of the provisions of this Ministerial Decision, the difference between the annual periodic contributions of the companies referred to in article 17 hereof and the corresponding annual periodic contributions of the same article based on the previous ministerial decision with Ref. No. Γ.Δ.Ο.Π. 0000532 ΕΞ 2016 (Government Gazette B 999) shall be paid in November 2024.

Article 32

Repealed provisions

On entry of this decision into force, the decision with Ref. No. ΓΔΟΠ 0000532 ΕΞ 2016 of the Minister of Finance “Determination of the Hellenic Capital Market Commission resources” (B 999) shall be repealed.

This decision shall enter into force on the date of its publication in the Government Gazette.

This decision must be published in the Government Gazette.

Athens, 8 July 2024

The Minister

KONSTANTINOS HATZIDAKIS